



## Summary of Final Board Determination#

**Jack Royster, Jr.**

**Candidate, 2017, Council District #09**

**Program non-participant**

**Casey Hill III, Treasurer of Jack for City Council**

**The Board determined that the Campaign failed to comply with the Campaign Finance Act and Board rules, and assessed violations and penalties as detailed below.**

### **1. Failing to provide bank statements \$179**

Campaigns are required to provide copies of bank, credit card, and merchant account statements for all accounts used for each election. *See* Admin. Code §§ 3-703(1)(d), (g), (11); Board Rule 3-03(f), 4-01(f)(1).

The Campaign did not provide bank statements for its Bank of America account ending in 3465 from the inception of the account (April 2017) through January 2018.

The Campaign was notified of this alleged violation and was instructed to resolve the violation by providing the bank statements in the DAR, sent on May 11, 2018, and the Penalty Notice, sent on December 10, 2018.

The board assessed total penalties of \$179 for these violations.

### **2. Failing to demonstrate compliance with reporting requirements for receipts \$448**

Campaigns are required to demonstrate compliance with the reporting requirements and are required to provide bank records, including bank statements and deposit slips. *See* Admin. Code §§ 3-703(1)(d), (g), (6), (11), (12); Board Rules 1-09, 3-03(c), (d), (e), 4-01.

The Campaign reported \$220 in receipts, but provided no bank statements. Thus, the variance between the receipts reported and documented by the Campaign is 100%.

The Campaign was notified of this alleged violation and was instructed to resolve the violation by providing the bank statements in the DAR, sent on May 11, 2018, and the Penalty Notice, sent on December 10, 2018.

The Board assessed total penalties of \$448 for these violations.

### **3. Filing a late disclosure statement \$157**



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Campaigns are required to file complete and timely disclosure statements on scheduled dates. *See* N.Y.C. Charter § 1052(a)(8); Admin. Code §§ 3-703(6), (12), 3-708(8); Board Rules 1-09, 3-02.

The Campaign filed Disclosure Statement #9 on July 24, 2017, seven days after the July 17 deadline.

The Campaign was notified of this alleged violation in the DAR, sent on May 11, 2018, and the Penalty Notice, sent on December 10, 2018.

The Board assessed a penalty of \$157 for this violation.

#### **4. Failing to respond to the Draft Audit Report**

**\$500**

Campaigns are required to maintain records, such as copies of checks, invoices, and bank records, to verify financial transactions reported in disclosure statements, and campaigns are required to provide such records to the Board upon request and to respond to specific questions regarding compliance with the Act and Rules. *See* Admin. Code §§ 3-703(1)(d), (g), (6), (11), (12), 3-708(5), 3-710(1); Board Rules 1-09(a), 4-01, 4-05(a)

The Campaign did not file a response to the Draft Audit Report, which was due on June 11, 2018. CFB staff sent the Campaign a no response notice on June 18, 2018, and did not receive a response.

The Campaign was notified of this alleged violation in the Penalty Notice, sent on December 10, 2018.

The board assessed a penalty of \$500 for this violation.

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