



Summary of Final Board Determination

Anthony Alexis

Candidate, EC 2019B, City Council District 45
Program participant: \$0 in Public Funds received
Amanda Byam, Treasurer of Alexis 4 Council

Campaign Summary

Candidate	Anthony Alexis
Office Sought/Election Cycle	City Council District 45, Brooklyn EC2019B special election
Committee	Alexis 4 Council
Treasurer	Amanda Byam
Net Receipts	\$7,163 (net contributions, \$7,163; public funds, \$0)
Net Expenditures	\$7,359

Penalties Summary

Violation	Penalty
1. Failing to demonstrate compliance with cash receipts reporting and documentation requirements	\$460
2. Failing to document a transaction	Violation, No Penalty
3. Accepting an over-the-limit contribution	\$375
Total Penalties	\$835

The Board determined that the Campaign failed to comply with the Campaign Finance Act and Board rules and assessed violations and penalties as detailed below.

I. Failing to demonstrate compliance with cash receipts reporting and documentation requirements \$460

Campaigns are required to report all cash receipts, deposit them into the bank account listed on the candidate's filer registration and/or certification within ten business days of receipt, and

provide the deposit slips for the account to the Board. *See* Admin. Code §§ 3-703(1)(d), (g), (6), (10), (11), (12); Board Rules 1-04(a), (b), 2-06(a), 3-03(c), 4-01(a), (b)(1), (3), (f).

The Campaign reported \$3,528 in cash receipts, but only provided itemized deposit slips accounting for \$1,685 in cash receipts, resulting in a difference of \$1,843. This constitutes a variance of 52% between the cash receipts reported and documented by the Campaign.

The Board assessed a penalty of \$460 for this violation.

2. Failing to document a transaction

VNP

Campaigns are required to document all financial transactions. *See* Admin. Code §§ 3-703(1)(d), (g), (11), (12), 3-715; Board Rules 1-09, 4-01(a), (c), (g), (k), 4-03.

The Campaign reported a \$27 debit to TD Bank that did not appear on the bank statements provided by the Campaign.

The Board found a violation, but did not assess a penalty for this violation.

3. Accepting an over-the-limit contribution

\$375

Campaigns are prohibited from accepting contributions (monetary or in-kind) in excess of the applicable contribution limit. *See* Admin. Code §§ 3-702(8), 3-703(1)(f), (1-a), (1-b), (11); Board Rules 1-04(c)(1), (h), 1-07(c), 15-04(a). In addition, campaigns may not accept contributions in excess of the “doing business” contribution limits from individuals or entities that have business dealings with the City. The “doing business” contribution limit for the 2019B City Council special election was \$125. *See* Admin. Code §§ 3-702(8), (18), (20), 3-703(1-a), (1-b). Over-the-limit contributions must be refunded by bank check or certified check made out to the contributor. *See* Board Rule 1-04(c)(1).

On January 4, 2019, the Campaign received a \$250 contribution (\$125 over the limit) from an individual listed in the Doing Business Database. The over-the-limit portion of the contribution was not properly refunded, and the Campaign’s bank statements do not reflect the funds leaving the account.

The Board assessed a penalty of \$375 for this violation.