



## Summary of Final Board Determination

### **Alison Tan**

**Candidate, 2017, City Council District 20**

**Participant: \$100,100 in public funds received**

**Derek Hsiang, Treasurer**

**The Board determined that the Campaign has substantially complied with the requirements of the Campaign Finance Act and Board rules, and assessed violations and penalties as detailed below.**

#### **1. Filing a late disclosure statement No Penalty**

Campaigns are required to file complete and timely disclosure statements on scheduled dates. *See* N.Y.C. Charter § 1052(a)(8); Admin. Code §§ 3-703(6), (12), 3-708(8); Board Rules 1-09, 3-02.

The Campaign filed Disclosure Statement 10 on August 14, 2017, three days after the due date.

The Board determined that this was a violation, but did not assess a penalty.

#### **2. Late reporting of transactions in daily pre-election disclosure statements \$100**

All aggregate contributions and/or loans from a single source in excess of \$1,000, and all aggregate expenditures to a single vendor in excess of \$20,000, received or made within 14 days of an election, must be disclosed to the Board within 24 hours. *See* Admin. Code §§ 3-703(6), (12), 3-708(8); Board Rules 1-08(b), 1-09, 3-02(e).

The Campaign made a \$5,195 expenditure to Mercury Public Affairs on September 1, 2017, which was required to be reported by September 2, 2017. The expenditure was reported on September 9, seven days late. Additionally, the Campaign made a \$2,500 expenditure to Mercury Public Affairs on September 5, 2017, which was required to be reported by September 6, 2017. That expenditure was reported on September 9, three days late.

The Board assessed penalties of \$100 for these violations.

#### **3. Making impermissible post-election expenditures \$615**

After an election and before repaying leftover campaign funds to the Board, participants may spend campaign funds only to pay campaign-related expenses incurred in the preceding election and for “routine activities involving nominal cost associated with winding up a campaign and responding to the post-election audit.” *See* Admin. Code §§ 3-702(21)(a)(8), 3-703(1)(d), (g), (6), (11), 3-710(2)(c); Board Rules 1-03(a), 1-08(b), 5-03(e)(2).



**New York City Campaign Finance Board**  
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The Campaign made expenditures totaling \$2,461 that, based on their timing and/or purpose, are impermissible post-election expenditures.

The Board assessed penalties of \$615 for these violations.