



Summary of Final Board Determination

Christina Gonzalez

Candidate, 2013, City Council District 7, Manhattan

Program participant: \$0 public funds received

1. Failing to provide bank account statements \$200

Campaigns are required to provide copies of all bank and merchant account statements for accounts used for each election. *See* Admin. Code §§ 3-703(1)(d), (g), (11); Board Rule 4-01(f).

The Campaign failed to provide statements for its Neighborhood Trust Federal Credit Union Regular Share and Business Checking account from inception-present.

The Board assessed a penalty of \$200 for this violation.

2. Failing to demonstrate compliance with reporting requirements for receipts or disbursements \$505

Campaigns are required to demonstrate compliance with the reporting requirements and are required to provide bank records, including bank statements and deposit slips. *See* Admin. Code §§ 3-703(1)(d), (g), (6), (11), (12); Board Rules 1-09, 3-03(c), (d), (e), 4-01.

The Campaign reported \$4,305 in receipts, but the bank statements that the Campaign provided account for \$4,705 in receipts, a difference of \$400 (a variance of 9%).

The Campaign reported \$2,696 in disbursements, but the bank statements that the Campaign provided account for \$4,705 in disbursements, a difference of \$2,008 (a variance of 74%).

The Board assessed a penalty of \$505 for these violations.

3. Failing to file disclosure statements No Penalty

Campaigns are required to file complete and timely disclosure statements on scheduled dates. *See* N.Y.C. Charter § 1052(a)(8); Admin. Code §§ 3-703(6), (12), 3-708(8); Board Rules 1-09, 3-02.

The Campaign failed to file Disclosure Statements 15 and 16, but CFB staff believes there was little campaign activity during that period.

The Board determined that this was a violation but did not assess a penalty.



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4. Failing to respond to the Initial Documentation Request and Draft Audit Report \$1,000

Campaigns are required to maintain records, such as copies of checks, invoices, and bank records, to verify financial transactions reported in disclosure statements, and campaigns are required to provide such records to the Board upon request and to respond to specific questions regarding compliance with the Act and Rules. *See* Admin. Code §§ 3-703(1)(d), (g), (6), (11), (12), 3-708(5), 3-710(1); Board Rules 1-09(a), 4-01, 4-05(a).

The Campaign failed to respond to the Initial Documentation Request and the Draft Audit Report.

The Board assessed a penalty of \$1,000 for these violations.