



Summary of Final Board Determination

Julissa Ferreras-Copeland

Candidate, 2013, City Council District 21, Queens

Program participant: \$0 public funds received

1. Failing to report transactions \$470

Campaigns are required to properly report all financial transactions to the Board. *See* Admin. Code §§ 3-703(1)(d), (g), (6), (11), (12); Board Rules 1-09, 3-03(c), (d), (e), 4-01.

The Campaign did not report transactions totaling \$23,538 that appeared on its bank statements.

The Board assessed a penalty of \$470 for these violations.

2. Failing to demonstrate compliance with reporting requirements for receipts \$500

Campaigns are required to demonstrate compliance with the reporting requirements and are required to provide bank records, including bank statements and deposit slips. *See* Admin. Code §§ 3-703(1)(d), (g), (6), (11), (12); Board Rules 1-09, 3-03(c), (d), (e), 4-01.

The Campaign reported \$68,584 in monetary receipts, but its bank statements show \$74,340.02 in credits, a difference of \$5,756.02 (a variance of -8.39%).

The Board assessed a penalty of \$500 for this violation.

3. Failing to demonstrate compliance with reporting requirements for disbursements \$2,000

Campaigns are required to demonstrate compliance with the reporting requirements and are required to provide bank records, including bank statements and deposit slips. *See* Admin. Code §§ 3-703(1)(d), (g), (6), (11), (12); Board Rules 1-09, 3-03(c), (d), (e), 4-01.

The Campaign reported \$42,992.36 in disbursements, but its bank statements show \$71,104.35 in disbursements, a difference of -\$28,111.99 (a variance of -65.39%).

The Board assessed a penalty of \$2,000 for this violation.



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4. Failing to file/filing late disclosure statements \$1,850

Campaigns are required to file complete and timely disclosure statements on scheduled dates. *See* N.Y.C. Charter § 1052(a)(8); Admin. Code §§ 3-703(6), (12), 3-708(8); Board Rules 1-09, 3-02.

The Campaign failed to file disclosure statements 13 and 14, and filed statement 9 five days and statement 16 two days late.

The Board assessed a penalty of \$1,850 for these violations.

5. Accepting an over-the-limit contribution \$125

Campaigns are prohibited from accepting contributions in excess of the applicable contribution limit (\$2,750 for 2013 City Council candidates). *See* Admin. Code §§ 3-702(8), 3-703(1)(f), (11); Board Rules 1-04(c)(1), (h), 1-07(c).

The Campaign accepted contributions totaling \$3,500 from Yuzheng Miao. The over-the-limit portion was promptly refunded.

The Board assessed a penalty of \$125 for this violation.

6. Accepting a contribution from a corporation \$50

Campaigns may not accept, either directly or by transfer, a campaign contribution or loan, or guarantee or other security for such loan, from any corporation, limited liability company (LLC), or partnership. *See* N.Y.C. Charter § 1052(a)(13); Admin. Code §§ 3-702(8), 3-703(1)(1); Board Rules 1-04(c)(1), (e), (g), 1-05.

The Campaign accepted a \$50 contribution from Flushing Meadow Soapbox Derby, which it promptly refunded.

The Board assessed a penalty of \$50 for this violation.



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7. Failing to demonstrate that spending was in furtherance of the campaign \$38

Campaigns are required to demonstrate that all spending was in furtherance of the campaign. *See* Admin. Code §§ 3-702(21)(a), (b); 3-703(1)(d), (g), (6), (11); Board Rules 1-03(a), 4-01(e).

The Campaign over-reimbursed the Candidate \$154 for an advance.

The Board assessed a penalty of \$38 for this violation.

8. Failing to respond to the Initial Documentation Request \$685

Campaigns are required to maintain records, such as copies of checks, invoices, and bank records, to verify financial transactions reported in disclosure statements, and campaigns are required to provide such records to the Board upon request and to respond to specific questions regarding compliance with the Act and Rules. *See* Admin. Code §§ 3-703(1)(d), (g), (6), (11), (12), 3-708(5), 3-710(1); Board Rules 1-09(a), 4-01, 4-05(a).

The Campaign failed to respond to the initial documentation request.

The Board assessed a penalty of \$685 for this violation.