



Summary of Final Board Determination

Francesca Castellanos

**Candidate, 2013, City Council District 10, Manhattan
Program participant; \$0 in public funds received**

1. Failing to provide bank account statements \$99

Campaigns are required to provide copies of all bank and merchant account statements for accounts used for each election. See Admin. Code §§ 3-703(1)(d), (g), (11); Board Rule 4-01(f).

The Campaign failed to provide statements for its Popular Community Bank account.

The Board assessed a penalty of \$99 for this violation.

2. Failing to demonstrate compliance with reporting requirements for receipts or disbursements \$297

Campaigns are required to demonstrate compliance with the reporting requirements and are required to provide bank records, including bank statements and deposit slips. See Admin. Code §§ 3-703(1)(d), (g), (6), (11), (12); Board Rules 1-09, 3-03(c), (d), (e), 4-01.

The Campaign reported \$4,132.00 in receipts, but the bank statements show \$6,090.55 in receipts, a difference of \$1,958.55.

The Campaign reported \$3,196.64 in disbursements, but the bank statements show \$6,090.55 in disbursements, a difference of \$2,893.91.

The Board assessed a penalty of \$297 for these violations.

3. Failing to demonstrate compliance with cash receipts reporting and documentation requirements \$41

Campaigns are required to report all cash receipts, deposit them into the bank account listed on the candidate's filer registration and/or certification, and provide the deposit slips for the account to the Board. See Admin. Code §§ 3-703(1)(d), (g), (6), (10), (11), (12); Board Rules 1-04(a), (b), 2-06(a), 3-03(c), 4-01(a), (b)(1), (3), (f).

The Campaign reported \$3,327 in cash receipts but the deposit slips show \$4,162 in cash receipts, a difference of \$835 (a variance of -25.10%).

The Board assessed a penalty of \$41 for this violation.



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4. Failing to report transactions \$10

Campaigns are required to properly report all financial transactions to the Board. See Admin. Code §§ 3-703(1)(d), (g), (6), (11), (12); Board Rules 1-09, 3-03(c), (d), (e), 4-01.

The Campaign did not report transactions that appear on the Campaign's bank statements.

The Board assessed a penalty of \$10 for this violation.

5. Failing to file and late filing of disclosure statements \$466

Campaigns are required to file complete and timely disclosure statements on scheduled dates. See N.Y.C. Charter § 1052(a)(8); Admin. Code §§ 3-703(6), (12), 3-708(8); Board Rules 1-09, 3-02.

The Campaign failed to file Statements 12 and 16 and was late in filing Statements 10 and 11.

The Board assessed a penalty of \$466 for these violations.

6. Failing to respond to the Initial Documentation Request \$500

Campaigns are required to maintain records, such as copies of checks, invoices, and bank records, to verify financial transactions reported in disclosure statements, and campaigns are required to provide such records to the Board upon request and to respond to specific questions regarding compliance with the Act and Rules. See Admin. Code §§ 3-703(1)(d), (g), (6), (11), (12), 3-708(5), 3-710(1); Board Rules 1-09(a), 4-01, 4-05(a).

The Campaign failed to respond to the Initial Document Request.

The Board assessed a penalty of \$500 for this violation.

7. Failing to respond to the Draft Audit Report \$500

Campaigns are required to maintain records, such as copies of checks, invoices, and bank records, to verify financial transactions reported in disclosure statements, and campaigns are required to provide such records to the Board upon request and to respond to specific questions regarding compliance with the Act and Rules. See Admin. Code §§ 3-703(1)(d), (g), (6), (11), (12), 3-708(5), 3-710(1); Board Rules 1-09(a), 4-01, 4-05(a).

The Campaign failed to respond to the Draft Audit Report.

The Board assessed a penalty of \$500 for this violation.