



New York City Campaign Finance Board

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CERTIFIED STATEMENT OF NEED FOR ADDITIONAL PUBLIC FUNDS (PARTICIPANTS ONLY)

In order to limit public funding in races where participants face minimal opposition, public funds payments are capped at 25% of the maximum. This cap does not apply if a participant is running in a primary or special election for an open seat (an office for which no incumbent is seeking reelection).

Except in open seat primaries or special elections as set forth above, participating candidates must demonstrate a need for additional public funds using this form once for every election in which they seek the maximum public funds. Only the candidate may sign and submit the Certified Statement of Need.

This form must be received by the CFB by July 29, 2013, to be considered for the first public funds payment of the 2013 primary election. For subsequent public funds payments, it must be received by the [filing deadline\(s\)](#) for the disclosure statement(s) preceding the scheduled payment date.

The CFB will verify the truthfulness of this statement and supporting documentation, which will be posted to the CFB's website.

CANDIDATE NAME (LAST) <i>de Blasio</i>	FIRST <i>Bill</i>	M.I.
COMMITTEE NAME <i>New Yorkers for de Blasio</i>		ELECTION CYCLE <input checked="" type="checkbox"/> 2013 <input type="checkbox"/> OTHER _____
TYPE OF ELECTION (CHECK ONE) <input type="checkbox"/> PRIMARY <input checked="" type="checkbox"/> GENERAL <input type="checkbox"/> SPECIAL		
OFFICE SOUGHT <i>Mayor</i>	BOROUGH OR COUNCIL DISTRICT	

With the submission of this form and supporting documentation, I wish to receive the maximum public matching funds for which I am eligible in the election listed above. I attest that one or more of the following conditions applies to this election, and that such condition(s) reasonably demonstrate the need for additional public funds.

I am opposed in the election listed above by a candidate who:

(Please check the box next to the applicable condition(s), enter the name of the opposing candidate, and attach documentation to support your claim)

- 1. Is a non-participating candidate or a limited participating candidate who has the ability to self-finance his or her campaign.
 - Possible Documentation: Proof of expenditures made by opposing candidate (such as samples of literature), public information about the opposing candidate's net worth, statements in the press or candidate announcement(s) about the intention to self-finance, documentation regarding the candidate's history of self-financing.

Name of opposing candidate: _____

- 2. Has received an endorsement from: a citywide or statewide elected official or a federal elected official representing all or a portion of the area covered by the election; two or more other city elected officials who represent all or a part of the area covered by the election; or one or more membership organizations with a membership of over 250 members.
 - Possible Documentation: Official press release issued by the endorser, endorsement found on an organization's website, e-mail from the endorsing candidate or organization announcing the endorsement.

Name of opposing candidate: *Joseph Louta*

3. Has had significant media exposure in the 12 months preceding the election. At least 12 appearances by an opposing candidate and/or his or her name on television, radio, or in print media in general circulation in the area of the election constitutes "significant media exposure."

➤ Possible Documentation: Recordings of television or radio appearances by the opposing candidate and/or his or her name, copies of newspaper articles mentioning the opposing candidate (including information about him/her). Mere listings of names of candidates do not qualify as appearances.

Name of opposing candidate: Joseph Lhotka

4. In the last eight years preceding the election, has received twenty-five percent or more of the vote in an election for public office in an area encompassing all or part of the area that is the subject of the current election.

➤ Possible Documentation: Information from the Board of Elections indicating the name of the candidate, office sought, date of the election, and vote totals.

Name of opposing candidate: _____

5. Has a name that is substantially similar, either spoken or in print, to my name, so as to result in confusion among voters.

➤ Possible Documentation: Name of the opposing candidate, statements in the press discussing the similarities in the candidates' names, explanation of how the name is substantially similar in print or spoken word.

Name of opposing candidate: _____

6. Is a chairman, president, or district manager of a community board (City Council or borough-wide races only).

➤ Possible Documentation: Name and title of the candidate who is currently serving as a chairman, president, or district manager of a community board, and the source for that information. A list of community boards can be found on the [Mayor's Community Affairs Unit website](#).

Name of opposing candidate: _____

7. Has a spouse, domestic partner, sibling, parent or child who holds or has held elective office in an area encompassing all or part of the area of the covered election in the past ten years.

➤ Possible Documentation: Name of the person who holds or has held office, his or her relationship to the candidate, office held, the area of overlap with the covered office, and the period in office.

Name of opposing candidate: _____

Name of opposing candidate's spouse, domestic partner, sibling, parent, or child:

I understand that (a) intentionally or knowingly making a false statement or intentionally or knowingly violating any provision of the New York City Campaign Finance Act is a Class A misdemeanor pursuant to Section 3-711(3) of the Act; (b) knowingly making a false written statement is a Class A misdemeanor pursuant to New York State Penal Law Section 210.45; and (c) knowingly offering false written information with the belief that it will become a part of the records of a public office and with the intent to defraud is a Class E felony pursuant to New York State Penal Law Section 175.35.

I hereby certify that the information filed herewith is true and complete to the best of my knowledge, information, and belief.


CANDIDATE SIGNATURE

DATE

7/16/13

NYCCFB: Certified Statement of Need for Additional Public Funds

2. Has received an endorsement from: a citywide or statewide elected official or a federal elected official representing all or a portion of the area covered by the election; two or more other city elected officials who represent all or a part of the area covered by the election; or one or more membership organizations with a membership of over 250 members.

Possible Documentation: Official press release issued by the endorser, endorsement found on an organization's website, e-mail from the endorsing candidate or organization announcing the endorsement.

Name of Opposing Candidate: Joseph Lhota

Rudy Giuliani Endorses MTA Chairman Joe Lhota for Mayor

Margaret Hartmann - NY Magazine - 11.21.12

<http://nymag.com/daily/intelligencer/2012/11/giuliani-endorses-lhota-for-mayor-if-he-runs.html>

Michael Grimm Backs Joe Lhota For NY Mayor

Celeste Katz - NY Daily News - 6.17.2013

<http://www.nydailynews.com/blogs/dailypolitics/2013/06/michael-grimm-backs-joe-lhota-for-ny-mayor>

"Team Lhota is embracing the backing of Grimm, who joins fellow GOP Rep. Peter King of Long Island and former Rep. Bob Turner of Queens in supporting the ex-Giuliani deputy in a three-way primary."

Congressman Peter King Endorses Joe Lhota for Mayor

Press Release - Joe Lhota for Mayor - 5.7.2013

<https://www.facebook.com/JoeLhota4Mayor/posts/514602251910463>

"Citing his unmatched experience and courageous leadership, one of the state's most influential Republicans, Congressman Pete King (NY-2), today endorsed Joe Lhota for New York City Mayor...Mr. Lhota also announced earlier this morning the endorsement of the Staten Island Republican Committee."

QUEENS GOP COUNCIL CANDIDATE FLIPS SUPPORT TO JOE LHOTA

Press Release - Joe Lhota for Mayor - 8.29.2013

Queens, NY--August 29, 2013...Queens City Council candidate Dennis Saffran (R-C-Reform, 19th District) today announced he is flipping his support from Mr. Catsimatidis to Joe Lhota in the Republican primary. Mr. Lhota also netted the endorsement of City Council candidate Scherie Murray (R, 31st District).

LHOTA RECEIVES CONSERVATIVE PARTY ENDORSEMENT

Press Release - Joe Lhota for Mayor - 6.3.2013

New York, NY--June 3rd...Former deputy mayor Joe Lhota today received a crucial endorsement from the Conservative Party in his campaign to be the city's next mayor.

Mr. Lhota was joined by New York State Conservative Party Chairman Mike Long and other city Conservative Party leaders on the steps of City Hall to make the announcement. The endorsement will provide Mr. Lhota with an additional ballot line in the November elections.

Staten Island GOP blesses Lhota's candidacy for mayor

Tom Wroblewski – Staten Island Advance – 5.7.2013

http://www.silive.com/news/index.ssf/2013/05/staten_island_gop_blesses_lhota.html

“STATEN ISLAND, N.Y. -- Mayoral candidate Joe Lhota was backed by the Staten Island Republican Party executive committee on Monday night, and the panel tapped a former prosecutor to run for the North Shore City Council seat.”

3. Has had significant media exposure in the 12 months preceding the election. At least 12 appearances by an opposing candidate and/or his or her name on television, radio, or in print media in general circulation in the area of the election constitutes “significant media exposure.”

- Possible Documentation: Recordings of television or radio appearances by the opposing candidate and/or his or her name, copies of newspaper articles mentioning the opposing candidate (including information about him/her). Mere listings of names of candidates do not qualify as appearances.

Name of Opposing Candidate: Joseph Lhota

- 1. Group supporting Republican mayoral hopeful Joe Lhota has received hundreds of thousands of dollars from David Koch**
Erin Durkin – New York Daily News – 9.15.2013
<http://www.nydailynews.com/news/election/republican-mayoral-hopeful-joe-lhota-received-hundreds-thousands-dollars-david-koch-article-1.1456560#ixzz2f53rqouj>
- 2. How 'J-Lho' May Keep Democrats Out Of New York Mayor's Office**
Liz Halloran – NPR News – 9.14.2013
<http://www.npr.org/blogs/itsallpolitics/2013/09/13/222210607/how-j-lho-may-keep-democrats-out-of-new-york-mayors-office>
- 3. Joe Lhota relaunches mayoral campaign, framing himself as candidate of change while taking shot at Bill de Blasio**
Annie Karni – NY Daily News – 9.13.2013
<http://www.nydailynews.com/news/politics/lhota-relaunches-campaign-takes-shot-de-blasio-article-1.1454621#ixzz2f52X1T1e>
- 4. Lhota campaign will tap supporters nationwide**
Beth DeFalco and Carl Campanile – NY Post – 9.12.2013

<http://nypost.com/2013/09/12/lhota-campaign-will-tap-supporters-nationwide/>

5. Joe Lhota Vows to 'Unify Our Party' After Bruising Primary

Kara Bloomgarden-Smoke – Politicker – 9.11.2013

<http://politicker.com/2013/09/joe-lhota-vows-to-unify-our-party-after-bruising-primary/>

6. Joe Lhota plans to reach out for Democrats' help in NY mayoral bid

Celeste Katz and Annie Karni – NY Daily News – 9.11.2013

<http://www.nydailynews.com/news/politics/joe-lhota-seeks-democrats-mayoral-bid-article-1.1453026#ixzz2f54W0gir>

7. Lhota Hopes to Capitalize on Elite Dismay Over a Liberal Tilt

Michael M. Grynbaum – NY Times – 9.11.2013

<http://www.nytimes.com/2013/09/11/nyregion/lhota-tries-to-exploit-dismay-by-wall-st-over-liberal-tilt.html?pagewanted=all>

8. NYC mayoral hopeful Joe Lhota wins Republican primary, targets Bill de Blasio

Joe Scarborough, Matthew J. Perleman & Corinne Lestch – NY Daily News – 9.10.2013

<http://www.nydailynews.com/news/election/nyc-mayoral-hopeful-joe-lhota-wins-republican-primary-article-1.1451711#ixzz2f57bvruM>

9. Former Giuliani deputy Lhota seen winning N.Y. Republican mayoral primary

Reuters – 9.10.2013

<http://www.reuters.com/article/2013/09/11/us-usa-politics-newyork-republican-idUSBRE98A05B20130911>

10. Rudy Giuliani Touts Joe Lhota's 'Eyes of Steel'

Jill Colvin – Politicker – 9.8.2013

<http://politicker.com/2013/09/rudy-giuliani-touts-joe-lhotas-eyes-of-steel/>

11. Joe Lhota: Both Michael Bloomberg And Bill De Blasio Wage Class Warfare

Michael McLaughlin – Huffington Post – 9.8.2013

http://www.huffingtonpost.com/2013/09/08/joe-lhota-bloomberg-de-blasio_n_3890579.html

12. Koch Family Sinks Big Money Into New Pro-Lhota PAC

Jill Colvin – Politicker – 9.8.2013

<http://politicker.com/2013/09/koch-family-sinks-big-money-into-new-pro-lhota-pac/>

13. Joe Lhota Looks To Extend GOP's Streak In City Hall

Colby Hamilton – DNAinfo/Huffington Post – 9.4.2013

http://www.huffingtonpost.com/2013/09/04/joe-lhota-gop-city-hall-nyc_n_3867002.html

14. Lhota Raises \$164k, With Help of Kochs and Bloomberg

Reid Pillifant – Capital New York News – 8.31.2013

<http://www.capitalnewyork.com/article/politics/2013/08/8533326/lhota-raises-164k-help-kochs-and-bloomberg>

15. For New York Mayor: Two Endorsements: Quinn and Lhota

NY Times Editorial Board – 8.24.2013

<http://www.nytimes.com/2013/08/25/opinion/sunday/two-endorsements.html?pagewanted=all>

16. New York Conservatives Endorse Joe Lhota, their ‘Glimmer of Hope’

Dana Rubinstein – Capital New York News – 6.3.2013

<http://www.capitalnewyork.com/article/politics/2013/06/8530623/new-york-conservatives-endorse-joe-lhota-their-glimmer-hope>