



New York City Campaign Finance Board

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Summary of Final Board Determination

Marie Adam-Ovide

Candidate, Council District 31 (February 2013)

1. Filing late disclosure statements. \$484

Campaigns are required to file complete and timely disclosure statements on scheduled dates. *See* N.Y.C. Charter § 1052(a)(8); Admin. Code §§ 3-703(6), (12), 3-708(8), 3-719(1); Board Rules 1-09, 3-02. The Campaign filed the first disclosure statement three days after the deadline and the third disclosure statement eleven days after the deadline.

The Board assessed a penalty of \$484 for this violation.

2. Failing to provide bank statements. \$345

Campaigns are required to provide the Board with bank records, including periodic bank statements. *See* Admin. Code §§ 3-703(1)(d), (g), (11); Board Rules 4-01(a), (f). The Board requested bank records for the Campaign's JP Morgan Chase account, but the Campaign submitted only some of the statements.

The Board assessed a penalty of \$345 for this violation.

3. Failing to demonstrate compliance with reporting requirements for receipts or disbursements. \$432

Campaigns are required to demonstrate compliance with the reporting requirements and are required to provide bank records, including bank statements and deposit slips. *See* Admin. Code §§ 3-703(1)(d), (g), (6), (11), (12), 3-719(1); Board Rules 1-09, 3-03(c), (d), (e), 4-01.

A review of the Campaign's bank records and the information submitted indicates a 35.39% variance between total reported receipts and total receipts documented in bank statements. Specifically, the Campaign reported \$8,376.74 in receipts, but bank statements document \$5,412.09 in receipts, resulting in a variance of \$2,964.65.

A review of the Campaign's bank records and the information submitted also indicates an 8.64% variance between total reported disbursements and total disbursements documented in bank statements. Specifically, the Campaign reported \$8,408.22 in disbursements, but bank statements document \$7,681.52 in disbursements, resulting in a variance of \$726.70.

The Board assessed a penalty of \$432 for this violation.

4. Failing to respond to the Draft Audit Report

\$500

Campaigns must maintain records, such as copies of checks, bills and other documentation, that enable the CFB to verify the contributions and expenditures reported in the candidate's disclosure statements. *See* Admin. Code §§ 3-703(1)(d), (g); Board Rule 4-01. Campaigns are required to furnish such records to the CFB upon request. *See* Admin. Code §§ 3-703(1)(d), (g). All candidates are subject to a post-election audit by the CFB, for which they must furnish certain records, regardless whether the candidate received public funds. *See* Admin. Code §§ 3-703(11), (12), 3-710(1), 3-719(1)(b) and Board Rule 4-01, 4-05(a).

CFB staff sent the Campaign a Draft Audit Report on November 7, 2013 with a response due date of December 9, 2013. The Campaign has not responded.

The Board assessed a penalty of \$500 for this violation.