



New York City Campaign Finance Board

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Summary of Final Board Determination

Pamela Johnson

Candidate, Council District 12 (November 2012)

1. Filing a disclosure statement five days late

No penalty

Campaigns are required to file complete and timely disclosure statements on scheduled dates. *See* N.Y.C. Charter § 1052(a)(8); Admin. Code §§ 3-703(6), 3-708(8); Board Rules 1-09(a), 3-02.

Statement #1 was due October 5, 2012. The Campaign filed the statement five days late on October 10.

The Board did not assess a penalty for this infraction.

2. Failing to document transactions

\$900

Campaigns must maintain records, such as copies of checks, bills and other documentation, that enable the Board to verify the contributions and expenditures reported in the candidate's disclosure statements. *See* Admin. Code §§ 3-703(1)(d), (g); Board Rule 4-01. The records shall be made and maintained contemporaneously with the transactions recorded, and maintained and organized in a manner that facilitates expeditious review by the Board. *See* Board Rule 4-01(a). Upon the Board's request, a campaign is required to provide copies of checks, bills, or other documentation to verify contributions, expenditures or other transactions. *See* Admin. Code §§ 3-703(1)(d), (g); Board Rule 4-01.

The Campaign provided contribution backup documentation in which the amounts, types, and other mandatory information submitted for 18 contributions appeared to have been altered. CFB staff requested further information and clarification but did not receive it, and therefore notified the Campaign that it would not be eligible to receive public funds.

On November 29, 2012, the Campaign appeared before the Board to contest the determination that it was not entitled to receive public funds. The Board upheld its determination to deny public funds. CFB staff again requested further information about the contributions. In response, the Campaign provided some additional information that staff had explicitly requested. However, the Campaign failed to provide sufficient documentation for any of the 18 contributions.

The Board assessed a penalty of \$900 for this violation.