CERTIFICATION

File this form to become eligible to receive public funds



If you are running for a CFB-covered office and wish to participate in the Campaign Finance Program and be eligible to receive public funds, this Certification must be filed by the deadline set by the CFB. Late or incomplete Certifications will not be accepted. Metered mail and other mail without a verifiable postmark will be presumed to have been mailed three days prior to receipt by the CFB.

CERTIFICATION

You must file this form with the New York City Campaign Finance Board ("CFB") to become a participant in the Campaign Finance Program ("Program").

If you are running for a CFB-covered office <u>and</u> wish to participate in the Program and be eligible to receive public funds, this Certification must be filed by the deadline set. *Late or incomplete Certifications <u>will not</u> be accepted.* Metered mail and other mail without a verifiable postmark will be presumed to have been mailed three days prior to receipt by the CFB.

IMPORTANT INFORMATION—PLEASE READ

Complete the entire Certification. All mandatory fields have been marked with an "*". All pages must be submitted by mail or hand-delivery with original signatures of the candidate and treasurer. Incomplete or illegible forms will not be accepted. Any changes to the information provided require an amendment to the Certification.

Communications, both written and oral, will be directed to the candidate's, treasurer's, and/or principal committee's address, telephone number, and/or email address.

You must notify the CFB of any changes to the information.

C-Access is the CFB's interactive website for campaigns, providing secure online access to C-SMART (Candidate Software for Managing and Reporting Transactions), campaign information, and compliance notices. Campaign-specific usernames, passwords, and C-SMART encryption key will be issued to both the candidate and treasurer upon acceptance of the Certification.

C-SMART is the CFB's proprietary web-based software that campaigns are required to use to enter all financial transactions and electronically submit disclosure statements to the CFB and the New York State Board of Elections (NYS BOE). It is mandatory that email addresses be provided for both the candidate and treasurer as part of your registration with the CFB.

To protect your privacy, the CFB will not provide specific information related to your campaign to any individual or entity not listed on this Certification unless such disclosure is required pursuant to the Freedom of Information Law, Article 6 of the Public Officers Law ("FOIL"), or other law.

Reminder: Public servants are prohibited from using government resources for their campaigns. Do not list any government phone, email, or address as campaign contact information on this form. Government resources may only be included under the employment information of the candidate, treasurer, or campaign liaison(s) (if applicable).



New York City Campaign Finance Board

100 Church Street, 12th Floor, New York, NY 10007 • 212.409.1800 www.nyccfb.info • CSUmail@nyccfb.info

CERTIFICATION

1. E	. ELECTION CYCLE (MUST CHECK ONLY ONE)										
	□ 2017 PRIMARY/GENERAL ELECTION CYCLE □ SPECIAL ELECTION: □ OFF-YEAR: □										
2. (2. CANDIDATE NAME AND HOME ADDRESS CFB USE O										
E	nter the	e candi	date's name, address, tel	ephone numbers	s, and email a	ddress.		CANDIDATE I.D.			
			lidate's home address is a the CFB of any changes.		nich legal notio	ces may be se	ent. You must	COMMITTEE I.D			
MR.	MRS.	MS.	LAST*			FIRST*		M.I.			
STREE	T ADDRES	<u> </u> S*					APARTMENT/SUITE/FLOOF	3			
CITY*					STATE*		ZIP CODE*				
DAY TE	LEPHONE	·			EVENING TELEPH	ONE					
EMAIL A	ADDRESS*				,						
3. (CANDII	DATE	EMPLOYMENT								
E	nter the	e candi	date's employment inforr	nation.							
EMPLO	YER NAME	*									
STREE	Γ ADDRES	S					APARTMENT/SUITE/FLOOR				
CITY					STATE		ZIP CODE				
TELEPH (HONE)						l				
4. (OFFICE	SOU	GHT								
E	nter the	e office	sought, borough or Cou	ncil district #, and	d party registr	ation.					
OFFICE					BOROUGH OR COUNCIL DISTRICT #						
PARTY	REGISTRA	TION (OP	TIONAL)								
5. F	PRINCI	PAL C	OMMITTEE								
v S c i:	Enter the committee name, address, New York State Board of Elections (NYS BOE) Filer ID Number, date registered with the NYS BOE, and other information for the principal committee. The CFB advises against using a P.O. Box for your committee address. Candidates must authorize and use only one political committee to make expenditures, raise contributions, and receive public matching funds for the election covered by this Certification. This political committee is the candidate's "principal committee." It cannot have been authorized nor used for any other election, or be the authorized committee for any other candidate.										
	Note: The committee address is an address to which legal notices may be sent. You must promptly notify the CFB of any changes.								ny		
COMMITTEE NAME*							NYS BOE FILER ID*				
STREE	T ADDRES	S*			APARTMENT/SUIT	PARTMENT/SUITE/FLOOR NYS BOE REGISTRATION					
CITY*					STATE*		ZIP CODE*				
DAY TE	LEPHONE)	k			EVENING TELEPHONE ()						
EMAIL	ADDRESS*				WEBSITE ADDRESS(ES)*						

COMMITTEE SOCIAL MEDIA												
FACEB	ООК					TWITTER						
LINKE	DIN					OTHER						
MAI	LING A	DDRE	SS (IF DIFFE	RENT)								
				ddress is different from the otices sent to the committee		ling addres	ss, ente	er the n	nailing address here.	This mailing		
IF APPI	IF APPLICABLE, COMPANY OR BUILDING NAME; P.O. BOX:											
STREE	T ADDRES	S					R					
CITY					STA	TE			ZIP CODE			
6. 1	PREVIC	DUS E	LECTIONS									
	Enter the previous election(s), if any, in which the candidate sought nomination for election, or election, to public office or a party position.											
	Have you previously been a candidate for any elective office or political party position?* YES NO If yes, please specify your most recent candidacies below:											
DATE C	OF ELECTIO	DN (MON)	TH/YEAR)	OFFICE OR PARTY POSITION SOUGH	-IT	Т			т	PARTY PRIMARY EN- TERED		
7.	TREAS	URER	NAME AND	HOME ADDRESS								
E	Enter the	e treas	urer's name, a	ddress, telephone numbers	s, and	d email ad	dress.					
	Note: Th			address is an address to whi	ich l	egal notice	es may	be ser	nt. You must promptly	notify the CF	B	
MR.	MRS.	MS.	LAST*			FIRST*					M.I.	
STREE	T ADDRES	S*							APARTMENT/SUITE/FLOOF	3		
CITY*					STA	STATE*			ZIP CODE*			
DAY TELEPHONE* EV						EVENING TELEPHONE ()						
EMAIL ADDRESS*												
8.	TREAS	URER	EMPLOYME	NT								
E	Enter the	e treas	urer's employr	ment information.								
EMPLC	YER NAME	*										
STREET ADDRESS									APARTMENT/SUITE/FLOOP	3		
CITY					STA	STATE			ZIP CODE			
TELEPI	HONE								<u> </u>			

9. SCHEDULE C	9. SCHEDULE OF ACCOUNTS								
List all bank acc	counts opened	d by your con	nmittee and in	ndicate the type and purpose	e of the account.				
PRIMARY BANK	ACCOUNT								
BANK/DEPOSITORY NAME*									
CITY*				STATE*	ZIP CODE*				
ACCOUNT NUMBER*				ACCOUNT NAME (IF ANY)					
DATE OPENED*	MONTH*	DAY*	YEAR*	TYPE OF ACCOUNT (SELECT OF	- - 				
DATE CLOSED (IF ANY)	MONTH	DAY	YEAR	☐ SAVINGS	 ✓ PRIMARY/GENERAL/SPECIAL ELECTION ☐ SEGREGATED ACCOUNT FOR SOLICITING NON-MATCHABLE CONTRIBUTIONS 				
CURRENT BALANCE \$*	MONTH*	DAY*	YEAR*	MONEY MARKET OTHER (SPECIFY)	OTHER (SPECIFY)				
SECONDARY BA	NK ACCOUN	IT (IF APPLI	CABLE)						
BANK/DEPOSITORY NAME*	r								
CITY*				STATE*	ZIP CODE*				
ACCOUNT NUMBER*				ACCOUNT NAME (IF ANY)					
DATE OPENED*	MONTH*	DAY*	YEAR*	TYPE OF ACCOUNT (SELECT OF	PURPOSE OF ACCOUNT (SELECT ONE)*				
DATE CLOSED (IF ANY)	MONTH	DAY YEAR		CHECKING SAVINGS	□ PRIMARY/GENERAL/SPECIAL ELECTION□ SEGREGATED ACCOUNT FOR SOLICITING				
CURRENT BALANCE \$*	MONTH*	DAY*	YEAR*	MONEY MARKET OTHER (SPECIFY)	NON-MATCHABLE CONTRIBUTIONS OTHER (SPECIFY)				
	Attach additio	nal form page	e(s) if the princ	cipal committee has more th	an two bank accounts.				
DIRECT DEPOSIT	OF PUBLIC	FUNDS							
		-		-	umber found on your committee It below. Starter checks will not be				
ABA/ROUTING NUMBER*									
A									
			ne Henley velt Avenue, Ste 5 hts, NY 11372	504	280				
		Pay to the ore	der of:	VOII	\$ DOLLARS				
ENTER YOUR PRINCIPAL C ABA/ROUTING NUMBER -	OMMITTEE'S	<u>MEMO</u> [∶ <mark>0000678</mark>	<mark>94</mark> : 123456	78					
	Checking Account (for Direct Deposit of Public Funds only)								

IAGE										
10. N	/IERCH	IANT A	ACCOUNTS							
If you process credit card contributions using a merchant account, you must provide your acquiring bank's name(s) and your committee's merchant account number(s).										
ACQUIF	RING BANK	'S NAME		COMMITTEE'S ME	ERCHANT ACCOUNT	Γ NUMBER				
ACQUIF	RING BANK	S NAME		COMMITTEE'S ME	ERCHANT ACCOUNT	T NUMBER				
11. C	11. CAMPAIGN MANAGER (IF APPLICABLE)									
	If your campaign manager will function as a liaison to the CFB, enter the manager's name, address, telephone numbers, and email address.									
MR.	MRS.	MS.	LAST		FIRST		M.I.			
STREET	ADDRES	S		-	<u> </u>	APARTMENT/SUITE/FLOOR				
CITY				STATE		ZIP CODE				
DAY TEI	LEPHONE			EVENING TELEPH	IONE	I				
EMAIL A	ADDRESS									
12. C	CAMPA	IGN L	IAISON (IF APPLICABLE)							
	-		e a person to function as a liaison to the Consultant (if applicable), enter the person's							
MR.	MRS.	MS.	LAST		FIRST		M.I.			
STREET	L CADDRES	S				APARTMENT/SUITE/FLOOR				
CITY	-			STATE		ZIP CODE				
DAY TEI	LEPHONE)			EVENING TELEPHONE ()						
EMAIL A	ADDRESS									
13. 0	CAMPA	IGN C	ONSULTANT (IF APPLICABLE)							
	If you have retained a consultant for the purpose of complying with the Program, enter the consultant's name, address, telephone numbers, and email address.									
MR.	MR. MS. MS. LAST FIRST M.						M.I.			
STREET ADDRESS						APARTMENT/SUITE/FLOOR				
CITY				STATE		ZIP CODE				
DAY TEI	LEPHONE)			EVENING TELEPHONE ()						
EMAIL A	ADDRESS			<u> </u>						
CONSU	LTANT EN	FITY NAMI	E (IF APPLICABLE)							

14. ADDITIONAL INDIVIDUAL WITH SIGNIFICANT MANAGERIAL CONTROL (OPTIONAL)

The Board's Rules require that participating candidates, their treasurers, campaign managers, or "persons with significant managerial control over a campaign" attend a training provided by the CFB concerning compliance with the requirements of the Program and use of its software.

If someone other than the candidate, treasurer, or campaign manager has "significant managerial control" over the

		-	hat person's name and cont viously listed in Section 13 of			n.	Note: the ir	ndivi	dual liste	d b	elow canı	not b	e the ca	ampa	ign	
MR.	R. MRS. MS. LAST				FIRST									M.I.		
STREE	Γ ADDRES	iss								APARTMENT/SUITE/FLOOR						l
CITY						S	TATE			ZI	P CODE		<u> </u>			
DAY TE	LEPHONE	,	(E	VENING TELEPH	IONE											
EMAIL A	ADDRESS															
15. (CONTA	ACT OF	RDER													
r a	eprese and trea	ntatives asurer c	er in which you would like the s in the order selected, howe directly. Additionally, certain withstanding the order reque	ever writte	if we are en notice	ur	nable to rea	ch t	he individ	lual	, we will c	all o	r email	the c	andid	ate
(Candida	ate sho	uld be contacted:*		First		Second		Third		Fourth		Fifth			
7	reasure	er shou	ld be contacted:*		First		Second		Third		Fourth		Fifth			
(Campai	gn Man	ager should be contacted:		First		Second		Third		Fourth		Fifth		N/A	
L	iaison :	should	be contacted:		First		Second		Third		Fourth		Fifth		N/A	
(Consult	ant sho	uld be contacted:		First		Second		Third		Fourth		Fifth		N/A	
16. <i>A</i>	ADDITI	IONAL	AUTHORIZED COMMIT	TEES	S											
f F S	Complete this section if the candidate has committees (including any political action committees) that are active and file disclosure statements with the New York State Board of Elections (NYS BOE) or Federal Election Commission (FEC). Please indicate the name of the committee, the date of the last election in which the committee was involved, office sought, treasurer's information, date opened with the NYS BOE or FEC, and whether the committee is a joint committee. *Remember, only the principal committee can be used for the election covered by this Certification.															
COMMI	TTEE NAM	1E								LA	AST ELECTION	N DATE	& OFFICE			
MR.	MRS.	MS.	TREASURER NAME: LAST					FIRS	ST							M.I.
DAY TE	DAY TELEPHONE EVENING TELEPHONE ()								,							
NYS BOE FILER ID OR FEC COMMITTEE ID					NYS BOE OR FEC REGISTRATION DATE											
IF JOIN	T COMMIT	TEE, LIST	OTHER CANDIDATES				,									
COMMI	COMMITTEE NAME LAST ELECTION DATE & OFFICE															
MR. MRS. MS. TREASURER NAME: LAST				FIRST						M.I.						
DAY TE	AY TELEPHONE ()									1						
NYS BC	E FILER II	D OR FEC	COMMITTEE ID			N'	YS BOE OR FEC	REGI	STRATION DA	ATE						
IF JOIN	т сомміт	TEE, LIST	OTHER CANDIDATES													

17. CANDIDATE VERIFICATION

The candidate must read and initial each clause and sign the Candidate Verification. **The candidate's signature** <u>must be</u> <u>notarized.</u>

I understand that I am responsible for reading, understanding, and complying with Title 3, Chapter 7 of the New York City Administrative Code (the "New York City Campaign Finance Act" or the "Act"), and the Campaign Finance Board Rules (the "Rules"). I further understand that this Certification is a condition for qualifying to receive public funds in the election(s) covered by this Certification and that other conditions specified in the Act and Rules must be satisfied before I may receive public funds.

initial here*

I hereby designate the authorized committee listed in Section 5 to be my principal committee (the "Principal Committee") for the election(s) covered by this Certification. I hereby verify that the Principal Committee (i) is the only committee authorized by me to aid or otherwise take part in the election(s) covered by this Certification; (ii) is not an authorized committee of any other candidate; and (iii) has not been, is not, and will not be, authorized or otherwise active for any elections other than the election(s) covered by this Certification. I understand that the use of an entity other than the Principal Committee to aid or otherwise take part in the election(s) covered by this Certification is a violation and will trigger the application to such entity of all provisions of the Act and Rules governing principal committees.

initial here*

I understand that I am ultimately responsible for my campaign's compliance with the Act and Rules. I further understand that I, my treasurer, and the Principal Committee, and any of my agents, are subject to the requirements of the Act and Rules, including any amendments thereto adopted after the date of my signature below, regardless whether I: meet the requirements to have my name appear on the ballot; meet the threshold for eligibility for public funds; am otherwise eligible to receive public funds; or accept public funds.

initial here*

I understand that violations of the Act, include, but are not limited to: accepting any contribution in excess of the applicable contribution limit set forth in Section 3-703(1)(f) or (1-a) of the Act; using my personal funds or property (or personal funds or property jointly held by me and my spouse, domestic partner, or unemancipated children) for the election(s) covered by this Certification, except as contributions that do not exceed the limit set forth in Section 3-703(1)(h) of the Act; making expenditures in excess of the applicable expenditure limit set forth in Section 3-706(1) of the Act; or accepting any contribution, directly or indirectly, from any political committee that is not registered with the CFB or from any corporation, partnership, LLP, or LLC.

initial here*

I understand that the Board may assess penalties of up to \$10,000 per violation, as provided in Section 3-711(1) of the Act, and penalties in excess of \$10,000 for violating the expenditure limit and for failing to provide any response to the draft audit report, as provided in Section 3-711(2) of the Act. I further understand that I, along with my treasurer, and the Principal Committee, and any of my agents, may be held jointly and severally liable for payment of such penalties. I further understand that the Board may assess penalties for violations that occurred prior to the date of my signature below against me, my treasurer, and the Principal Committee, and any of my agents, and that the Principal Committee must immediately return any prohibited or over-the-limit contributions previously received.

initial here*

I understand that exceeding the expenditure limit, furnishing false information to the CFB, and other serious violations of the Act and Rules may be considered a fundamental breach of the obligations affirmed in this Certification pursuant to Rule 2-02. I further understand that in the event of a fundamental breach, the Board may assess penalties against me, my treasurer, and the Principal Committee, and any of my agents, and I shall be ineligible to receive additional public funds and shall be deemed to have forfeited all public funds previously received.

initial here*

I understand that the Board will determine if the Principal Committee and I must return public funds after the election pursuant to Section 3-710(2) of the Act. I understand that public funds may only be spent on "qualified campaign expenditures", that the Principal Committee and I may be held jointly and severally liable for repaying any public funds for which adequate documentation for qualified campaign expenditures was not provided, and that if any campaign expenditures are illegal, improper, or not in furtherance of my nomination or election, the Principal Committee and I may be jointly and severally liable for the return of the amount of such expenditures to the Board. I further understand that my campaign must follow published CFB guidelines and procedures, employ trained staff, and implement						
standard financial controls and procedures.						
I understand that my home and email addresses, my treasurer's home and email addresses, and the Principal Committee address and email address, as provided in Sections 2, 5, and 7, are addresses to which legal notices, including correspondence and legal papers, may be sent. I further understand that if any of these addresses change, I will be responsible for promptly notifying the CFB of the						
change, in writing.	initial here*					
I understand that by providing a voided check in Section 9, I am authorizing the CFB to deposit any public funds payments my campaign is eligible to receive directly into the indicated checking account. I also grant authorization to the CFB for the full or partial reversal of any deposits to this account in the						
event that the deposit was made partly or entirely in error.	initial here*					
I understand that the CFB will issue usernames and passwords to my treasurer and me to be used to submit disclosure statements, and that only my treasurer and I may complete the disclosure statement process and submit disclosure statements to the CFB. I further understand that my treasurer and I will be given a single encryption key in order to access campaign data entered using C-SMART. Without the encryption key, we will not be able to access our campaign's financial data because it is kept in encrypted form. I further understand that my treasurer or I may change the initial encryption key provided by the CFB. If my treasurer or I change the initial encryption key and lose that new key, all						
data previously entered will be inaccessible. I understand that the CFB will not be able to recover the encryption key or any of our campaign data.	initial here*					
I verify that the information on this document is true and complete to the best of my knowledge and belief. I understand that intentionally or knowingly making a false statement, including but not limited to in the form of an electronic submission, or intentionally or knowingly violating any provision of the						
Act, is a Class A misdemeanor pursuant to Section 3-711(3) of the Administrative Code.						
I understand that knowingly making a false written statement, including but not limited to in the form of an electronic submission, is a Class A misdemeanor pursuant to New York State Penal Law						
Section 210.45.	initial here*					
I understand that knowingly offering false written information, including but not limited to in the form of						
an electronic submission, with the belief that it will become a part of the records of a public office and with the intent to defraud, is a Class E felony pursuant to New York State Penal Law Section 175.35.	initial here*					
SWORN TO BEFORE ME THIS						
day of						
NOTARY PUBLIC* CANDIDATE SIGNATURE	_ * _					

PAG	= 0				
18.	TREASURER VERIFICATION				
	The treasurer must read and initial each clause and sign the Treasurer Verification. The treasurer's signatu notarized.	ire <u>must be</u>			
	As treasurer of the committee listed in Section 5 (the "Principal Committee"), I understand that I am responsible for reading and understanding Title 3, Chapter 7 of the New York City Administrative Code (the "New York City Campaign Finance Act" or the "Act"), and the Campaign Finance Board Rules (the				
	"Rules").				
	I hereby verify that I agree to abide by the requirements of the Act and Rules. I understand that I, the candidate, and the Principal Committee, and any other agents of the candidate, are subject to the requirements of the Act and Rules, including any amendments thereto adopted after the date of my signature below, regardless whether the candidate: meets the requirements to have his or her name				
	appear on the ballot; meets the threshold for eligibility for public funds; is otherwise eligible to receive public funds; or accepts public funds.				
	I understand that violations of the Act include, but are not limited to: accepting any contribution in excess of the applicable contribution limit set forth in Sections 3-703(1)(f), (h), or (1-a) of the Administrative Code; making expenditures in excess of the applicable expenditure limit set forth in Section 3-706(1) of the Administrative Code, or accepting any contribution, directly or indirectly, from				
	any political committee that is not registered with the CFB or from any corporation, partnership, LLP, or LLC.				
	I understand that the use of an entity other than the Principal Committee to aid or otherwise take part in the election(s) covered by this Certification is a violation and will trigger the application to such entity				
	of all provisions of the Act and Rules governing principal committees.				
	I understand that the Board may assess penalties of up to \$10,000 per violation, as provided in Section 3-711(1) of the Administrative Code, and that I, along with the candidate and the Principal Committee, and any other agents of the candidate, may be held jointly and severally liable for payment of such penalties. I further understand that the Board, as provided in Section 3-711(2) of the Administrative Code, may assess penalties in excess of \$10,000 for violating the expenditure limit and for failing to provide any response to the draft audit report, and that I may be held jointly and severally liable for payment of the first \$10,000 of any such penalty. I further understand that the Board may assess penalties for violations that occurred prior to the date of my signature below against me, the candidate, and the Principal Committee				
	must immediately return any prohibited or over-the-limit contributions previously received.	initial here*			
	I understand that exceeding the expenditure limit, furnishing false information to the CFB, and other serious violations of the Act and Rules may be considered a fundamental breach of the obligations affirmed in this Certification pursuant to Rule 2-02. I further understand that in the event of a fundamental breach, the Board may assess penalties against me, the candidate, and the Principal Committee, and any other agents of the candidate, and the candidate shall be ineligible to receive				
	additional public funds and shall be deemed to have forfeited all public funds previously received.				
	I understand that my home and email addresses, the candidate's home and email addresses, and the Principal Committee address and email address, as provided in Sections 2, 5, and 7, are addresses to which legal notices, including correspondence and legal papers, may be sent. I further understand that if any of these addresses change, I will be responsible for promptly notifying the CFB of the change, in				
	writing.	initial here*			

I understand that by providing a voided check in Section 9, I am authorizing the CFB to deposit any public funds payments my campaign is eligible to receive directly into the indicated checking account. I also grant authorization to the CFB for the full or partial reversal of any deposits to this account in the							
I understand that the CFB will issue usernames and passwords to the candidate and me to be used to submit disclosure statements, and that only the candidate and I may complete the disclosure statement process and submit disclosure statements to the CFB. I further understand that the candidate and I will be given a single encryption key in order to access campaign data entered using C-SMART. Without the encryption key, we will not be able to access our campaign's financial data because it is kept in encrypted form. I further understand that the candidate or I may change the initial encryption key provided by the CFB. If the candidate or I change the initial encryption key and lose							
I verify that the information on this document is true and complete to the best of my knowledge and belief. I understand that intentionally or knowingly making a false statement, including but not limited							
to in the form of an electronic submission, or intentionally or knowingly violating any provision of the Act, is a Class A misdemeanor pursuant to Section 3-711(3) of the Administrative Code.							
I understand that knowingly making a false written statement, including but not limited to in the form of an electronic submission, is a Class A misdemeanor pursuant to New York State Penal Law							
Section 210.45.							
I understand that knowingly offering false written information, including but not limited to in the form of an electronic submission, with the belief that it will become a part of the records of a public office and							
with the intent to defraud, is a Class E felony pursuant to New York State Penal Law Section 175.35.	initial here*						
SWORN TO BEFORE ME THIS							
day of							
, 20							
NOTARY PUBLIC* TREASURER SIGNATURE	*						