

Calvin C. Solomon, CD #8 (2009)

1. Filing a disclosure statement late \$12

A campaign is required to file complete and timely disclosure statements on scheduled dates. *See* Admin. Code §§ 3-703(1)(d),(g), (6), (11), (12); Board Rule 3-02.

The Campaign filed Statement 9 on July 16, 2009, one day after the deadline date of July 15, 2009.

The Board assessed a penalty of \$12 for this violation.

2. Failing to file disclosure statements \$375

A campaign is required to file complete and timely disclosure statements on scheduled dates. *See* Admin. Code §§ 3-703(1)(d),(g), (6), (11), (12); Board Rule 3-02.

The Campaign failed to file Statements 12 and 16, which were due on September 25, 2009 and January 15, 2010, respectively.

The Board assessed a penalty of \$375 for this violation.

3. Failing to provide bank statements \$250

A campaign is required to provide the Board with bank records, including periodic bank statements. *See* New York City Charter § 1052(a)(8); Admin. Code §§ 3-703(1)(d), (g), (6), (11); Board Rules 1-09(a)(3), 4-01(a), (f).

The Campaign did not submit bank statements for the period from September 1, 2009 to the present.

The Board assessed a penalty of \$250 for this violation.

4. Failing to respond to initial request for documentation and records \$160

Campaigns must maintain records, such as copies of checks, bills and other documentation, that enable the CFB to verify the contributions and expenditures reported in the candidate's disclosure statements. *See* Admin. Code §§ 3-703(1)(d), (g); Board Rule 4-01. Campaigns are required to furnish such records to the CFB upon request. *See* Admin. Code §§ 3-703(1)(d), (g). All candidates are subject to a post-election audit by the CFB, for which they must furnish certain records, regardless whether the candidate received public funds. *See* Admin. Code §§ 3-703(11), (12), 3-710(1), 3-719(1)(b); Board Rule 4-05(a).

CFB staff sent the Campaign an initial request for documentation and records on October 30, 2009. The Campaign requested and was granted five extensions of time to respond, but never did.

The Board assessed a penalty of \$160 for this violation.

5. Failing to respond to the Draft Audit Report \$160

Campaigns must maintain records, such as copies of checks, bills and other documentation, that enable the CFB to verify the contributions and expenditures reported in the candidate's disclosure statements. *See* Admin. Code §§ 3-703(1)(d), (g); Board Rule 4-01. Campaigns are required to furnish such records to the CFB upon request. *See* Admin. Code §§ 3-703(1)(d), (g). All candidates are subject to a post-election audit by the CFB, for which they must furnish certain records, regardless whether the candidate received public funds. *See* Admin. Code §§ 3-703(11), (12), 3-710(1), 3-719(1)(b) and Board Rule 4-05(a).

CFB staff sent the Campaign the Draft Audit Report on October 14, 2011, with a due date of November 14, 2011. The Campaign did not respond.

The Board assessed a penalty of \$160 for this violation.