

Prince D. Lewis, CD #31 (2009)

1. Filing disclosure statements late \$867

Candidates must file disclosure reports listing contributions and expenditures at such times as the CFB requires. *See* Admin. Code § 3-703(6), Board Rule 3-02.

The Campaign filed Statement 9 nineteen days late, and filed Statement 11 five days late.

The Board assessed a penalty of \$867 for these violations.

2. Failing to file a disclosure statement \$651

Candidates must file disclosure reports listing contributions and expenditures at such times as the CFB requires. *See* Admin. Code § 3-703(6), Board Rule 3-02.

The Campaign failed to file Statement 10.

The Board assessed a penalty of \$651 for this violation.

3. Failing to provide bank statements \$434

Campaigns are required to provide bank records, including periodic bank statements. *See* Admin. Code §§ 3-703(1)(d), (g), and Board Rules 4-01(a), (f).

The Campaign failed to provide bank statements from the date that the account was opened to May 29, 2009, and from August 1, 2009 to the present.

The Board assessed a penalty totaling \$434 for this violation.

4. Failing to report expenditures and receipts \$1,735

Candidates must accurately report all campaign disbursements. *See* Admin. Code §§ 3-703(1)(d), (6), Board Rule 3-03(e).

The Campaign failed to report any expenditures, and failed to report any receipts after July 22, 2009.

The Board assessed a penalty of \$1,735 for this violation.

5. Failing to report intermediaries \$347

Candidates must report and document intermediaries. *See* Admin. Code §§ 3-703(1)(d), (g), (6), Board Rules 3-03(c)(7), 4-01(b)(5).

During the August 12, 2009 compliance visit, the campaign stated that Prince Lewis, Jr., and two individuals identified as “Justice,” and “Heavy,” gathered contributions for the

Campaign. Furthermore, a Campaign flyer identified a Ms. Stronjay as a contact to receive donations. However, the Campaign did not report any intermediaries or provide any intermediary affirmation statements.

The Board assessed a penalty of \$347 for this violation.

6. Maintaining a petty cash fund greater than \$500 \$295

Candidates may not maintain a petty cash fund greater than \$500. Board Rule 4-01(e)(2).

The Campaign exceeded the \$500 petty cash limit on three separate occasions. It withdrew \$800 and \$1,000, on June 8, 2009; \$2,900 on June 17, 2009; and \$600 on July 24, 2009.

The Board assessed a penalty of \$295 for this violation.

7. Failing to adequately respond to the Initial Document Request \$237

Candidates must provide information and documentation to the Board at its request. *See* Admin. Code §§ 3-703(1)(d), (g), (6),(11), (12), 3-708(5), Board Rules 1-09(a), 4-01, 4-05.

CFB staff sent an Initial Request for Documentation to the Campaign. The Campaign's response was inadequate, and CFB staff sent a letter requesting additional documentation on December 29, 2009. The Campaign failed to respond to the December 29, 2009 letter.

The Board assessed a penalty of \$237 for this violation.

8. Failing to respond to the Draft Audit Report \$237

Candidates must provide information and documentation to the Board at its request. *See* Admin. Code §§ 3-703(1)(d), (g), (6),(11), (12), 3-708(5), Board Rules 1-09(a), 4-01, 4-05.

CFB staff sent the Draft Audit Report to the Campaign on January 4, 2012. The Campaign failed to respond.

The Board assessed a penalty of \$237 for this violation.