

Carlos Sierra, CD #16 (2009)

1. Filing a disclosure statement late

\$50

Campaigns are required to file complete and timely disclosure statements on scheduled dates. *See* N.Y.C. Charter § 1052(a)(8); Admin. Code §§ 3-703(6), 3-708(8); Board Rules 1-09(a), 3-02.

A disclosure statement was due on July 15, 2009, but the Campaign did not file until July 16, one day late.

The Board assessed a penalty of \$50 for this violation.

2. Accepting an over-the-limit contribution

\$125

Campaigns may not accept contributions from a single source in excess of the applicable contribution limit for the entire election cycle. *See* Admin. Code §§ 3-702(8), 3-703(1)(f); Board Rules 1-04(c)(1), (h), 1-07(c). The contribution limit for candidates running for City Council in the 2009 election was \$2,750. *See* Admin. Code § 3-703(1)(f).

The Campaign accepted contributions from an individual totaling \$2,919.16, \$169.66 over the applicable individual contribution limit. The Campaign issued a refund on October 4, 2011.

The Board assessed a penalty of \$125 for this violation.