

**William A. Carrington, CD #36 (2009)**

**1. Failing to report a receipt \$50**

Candidates must accurately report all Campaign receipts. *See* Admin. Code §§ 3-703(1)(d), (6), Board Rule 3-03(c). On September 21, 2009, the Campaign made a \$2,400 deposit into its account, which it did not report.

The Board assessed a penalty of \$50 for this violation.

**2. Failing to report an expenditure \$50**

Candidates must accurately report all campaign disbursements. *See* Admin. Code §§ 3-703(1)(d), (6), Board Rule 3-03(e). On September 15, 2009, the Campaign issued a check for \$2,500 that it never reported.

The Board assessed a penalty of \$50 for this violation.

**3. Failing to accurately report cash receipts \$500**

Candidates must accurately report and document all Campaign receipts. *See* Admin. Code §§ 3-703(1)(d), (6), Board Rule 3-03(c), 4-01(b)(1). The Campaign's bank records indicate a 24.79% variance between reported cash receipts and submitted documentation (\$4,455 was reported but only \$3,570 was documented on deposit slips).

The Board assessed a penalty of \$500 for this violation.

**4. Failing to accurately report receipts and disbursements \$250**

Candidates must accurately report all Campaign receipts and disbursements. *See* Admin. Code §§ 3-703(1)(d), (6), Board Rule 3-03(c), (e).

The Campaign's bank records indicate a 6.31% variance between reported expenditures and debits reflected in the bank records (\$53,330.06 in expenditures was reported but \$56,919.24 appeared in the bank statements), and a 5.04% variance between reported credits and receipts reflected in the bank records (\$54,575 in receipts was reported but \$57,470 appeared in bank statements).

The Board assessed a penalty of \$250 for this violation.

**5. Failing to document a transaction**

**\$50**

Candidates must maintain “clear and accurate” records to demonstrate compliance with the Act and Rules. *See* Board Rules 4-01(a), (d), (e)(4), (l), 4-04.

The Campaign provided a copy of a contract with Stoll, Glickman & Bellina, LLP (“SGB”), which stated that \$2,500 was due upon execution. The Campaign reported that the candidate paid SGB with a \$2,300 money order, and that it later repaid the candidate for this advance with a check. CFB staff requested that the Campaign explain the discrepancy between the reported payment amount (\$2,300) and the contract amount (\$2,500). The Campaign failed to provide the front and back of the cancelled check, or to explain the discrepancy between the reported payment amount and the contract amount.

The Board assessed a penalty of \$50 for this violation.

**6. Maintaining a petty cash fund greater than \$500**

**No Penalty**

Candidates may not maintain a petty cash fund greater than \$500. Board Rule 4-01(e)(2).

On September 14, 2009, the Campaign withdrew \$12,000 by writing a check to cash. It used this cash to pay poll workers on September 15, 2009. Therefore, the Campaign had a petty cash fund that exceeded the permissible limit by \$11,500.

The Board assessed no penalty for this violation.